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## FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines Stephens Inc. Federal Political Action Committee 111 Center Street ADDRESS (number and street) PO Box 3507 Check if different than previously Little Rock AR 72201 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** ZIPCODE A CITY A IS THIS NEW **AMENDED** C00166553 Х REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12S) October 15 Х Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Runoff (30R) Post -Election General (30G) Special (30S) Report for the: **Termination Report** (TER) in the Election on State of 07 0 1 2010 09 3 0 2010 through Covering Period I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Mr. Frank Thomas Type or Print Name of Treasurer Electronically Filed by Mr. Frank Thomas 10 13 2010 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 12/2004) Only

FE6AN026

FEC Form 3X (Rev. 02/2003)

## SUMMARY PAGE OF RECEIPTS AND DISRUBSEMENTS

OF RECEIPTS AND DISBURSEMENTS 2 / 12

Write or Type Committee Name Stephens Inc. Federal Political Action Committee

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
i.	(a) Cash on Hand January 1 2010 Y Y Y		14593.30			
	(b) Cash on Hand at Begining of Reporting Period	7486.22				
	(c) Total Receipts (from Line 19)	4646.46	19039.38			
	(d) Subtotal (add lines 6(b) and					
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	12132.68	33632.68			
	Total Disbursements (from Line 31)	0.00	21500.00			
	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	12132.68	12132.68			
	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00				
	Debts and Obligations owed the committee (Itemize all on Schedule C and/or Schedule D)	0.00				

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 12

Write or Type Committee Name

Stephens Inc. Federal Political Action Committee

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11.	Contributions (other than loans) From: (a) Individuals/Persons Other		
	Than Political Committees (i) Itemized (use Schedule A)	4185.45	16010.87
	(ii) Unitemized	461.01	3028.51
	(iii) TOTAL (add Lines 11(a)(i) and (ii)	4646.46	19039.38
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	4646.46	19039.38
12.	Transfers From Affiliated/Other Party Committees	0.00	0.00
13.	All Loans Received	0.00	0.00
	Loan Repayments Received Offsets To Operating Expenditures	0.00	0.00
16	(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made	0.00	0.00
	to Federal candidates and Other Political Committees	0.00	0.00
17.	Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
8.	Transfers from Non-Federal and Levin Funds		
	(a) Non-Federal Account (from Schedule H3)	0.00	0.00
	(b) Levin Funds (from Schedule H5)	0.00	0.00
	(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
9.	Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	4646.46	19039.38
	Total Federal Receipts (subtract Line 18(c) from Line 19)	4646.46	19039.38

### **DETAILED SUMMARY PAGE**

FEC Form 3X (Rev. 02/2003)

of Disbursements

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II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures:     (a) Shared Federal/Non-Federal		
Activity (from Schedule H4)  (i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating  Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))	0.00	0.00
2. Transfers to Affiliated/Other Party		
Committees	0.00	0.00
Federal Candidates/Committeesand Other Political Committees	0.00	21500.00
(use Schedule E)	0.00	0.00
Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
S. Loan Repayments Made	0.00	0.00
7. Loans Made	0.00	0.00
Refunds of Contributions To:     (a) Individuals/Persons Other     Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))	0.00	0.00
Other Disbursements	0.00	0.00
Federal Election Activity (2 U.S.C 431(20))     (a) Shared Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
1. Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	0.00	21500.00
2. Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	0.00	21500.00
Holli Ellic OT/	0.00	21300.00

### **DETAILED SUMMARY PAGE**

of Disbursements

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Total Contributions (other than loans) rom Line 11(d), page 3)	4646.46	19039.38
Total Contribution Refunds from Line 28(d))	0.00	0.00
let Contributions (other than loans) subtract Line 34 from Line 33)	4646.46	19039.38
otal Federal Operating Expenditures add Line 21(a)(i) and Line 21(b))	0.00	0.00
Offsets to Operating Expenditures from Line 15, page 3)	0.00	0.00
let Operating Expenditures subtract Line 37 from Line 36)	0.00	0.00

FE6AN026

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 12 (check only one)  X 11a 11b 11c 12 15 16 17
	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Stephens Inc. Federal Political Action	Committee		
Α.	Full Name (Last, First, Middle Initial) Mr. Dick Albertson			Date of Receipt
	Mailing Address PO Box 3507			09 30 2010
	City	State	Zip Code	Transaction ID: SA11AI.6436
	Little Rock	AR	72203	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		115.50
	Name of Employer Stephens Inc	Occupation Executive		Monthly Payroll Deduction
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 346.50	]
В.	Full Name (Last, First, Middle Initial) Mr. H. Kenneth Bennett  Mailing Address 19 Huntington Rd.			Date of Receipt
	011	01-1-	7'- 0-1-	09 30 2010
	City Little Rock	State AR	Zip Code 72207	Transaction ID: SA11AI.6437  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	7.2207	249.99
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	749.97	
C.	Full Name (Last, First, Middle Initial) Mr. Richard H. Blank, Jr.			Date of Receipt
	Mailing Address 5618 Edgewood Road	d ————————————————————————————————————		09 / 30 / 2010
	City Little Rock	State AR	Zip Code	Transaction ID: SA11AI.6439
	FEC ID number of contributing federal political committee.	C	72207	Amount of Each Receipt this Period
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		450.00	]
	SUBTOTAL of Receipts This Page (optional) .			515.49
	TOTAL This Period (last page this line number		<u> </u>	

## SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 12 (check only one)    X   11a
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee t	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Stephens Inc. Federal Political Action	n Committee	
Full Name (Last, First, Middle Initial) Mr. Larry Bowden Mailing Address 815 Beechwood #B		Date of Receipt
City	State Zip Code	0 9 3 0 2 0 1 0 Transaction ID: SA11Al.6440
Little Rock	AR 72205	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer Stephens Inc.	Occupation Executive	Monthly Payroll Deduction
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 900.00	
Full Name (Last, First, Middle Initial) Mr. Brian A. Bush	I	Date of Receipt
Mailing Address 12408 Timber Bend		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
City	State Zip Code	Transaction ID: SA11Al.6441
Little Rock	AR 72211	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	510.00
Name of Employer Stephens Inc.	Occupation Executive	Monthly Payroll Deduction
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1530.00	
Full Name (Last, First, Middle Initial) Mr. Ed Carter		Date of Receipt
Mailing Address 111 Center Street		09 30 Y Y Y Y Y
City Little Rock	State Zip Code AR 72201	Transaction ID: SA11AI.6442  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 72201	124.98
Name of Employer Stephens Inc.	Occupation Executive	Monthly Payroll Deduction
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	374.94	1
SUBTOTAL of Receipts This Page (optional)		934.98

# SCHEDULE A (FEC Form 3X)

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 12 (check only one)    X
0	ny information copied from such Reports and r for commercial purposes, other than using th NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Stephens Inc. Federal Political Action	Committee		
	Full Name (Last, First, Middle Initial) Mr. Jim Compton			Date of Receipt
	Mailing Address PO Box 3507			09 30 2010
	City Little Rock	State AR	Zip Code 72203	Transaction ID: SA11AI.6445  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		150.00
	Name of Employer Stephens Inc.	Occupatio		Monthly Payroll Deduction
	Receipt For:  Primary  General  Other (specify) ▼		e Year-to-Date ▼ 450.00	
	Full Name (Last, First, Middle Initial) Mr. Brad Eichler			Date of Receipt
	Mailing Address 111 Center Street			0 9 3 0 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11Al.6447
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		450.00
	Name of Employer Stephens Inc.	Occupatio Executive		Monthly Payroll Deduction
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		1350.00	
	Full Name (Last, First, Middle Initial) Mr Tim Hynes	1		Date of Receipt
	Mailing Address 111 Center Street			0 9 3 0 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11AI.6449
	Little Rock FEC ID number of contributing federal political committee.	AR C	72201	Amount of Each Receipt this Period  105.00
	Name of Employer Stephens Inc.	Occupatio Executive		Monthly Payroll Deduction
	Receipt For:  Primary  General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 315.00	
	SUBTOTAL of Receipts This Page (optional) .	1		705.00

	IEDULE A (FEC Form 3X) MIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 12 (check only one)    X   11a
or for	nformation copied from such Reports and S commercial purposes, other than using the AME OF COMMITTEE (In Full) ephens Inc. Federal Political Action	name and add	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
A. Ro Ma	Il Name (Last, First, Middle Initial) shert Jones sailing Address PO Box 3507  Ty ttle Rock CC ID number of contributing deral political committee.  The primary General Other (specify)	State AR C Occupatio Executive Aggregate		Date of Receipt    M M M
B. Mr Ma	Il Name (Last, First, Middle Initial) David Knight  ailing Address 3 West Palisades  Extra Secretary Secre	State AR C Occupatio Executive Aggregate		Date of Receipt    M M M
C. Ste Ma	Il Name (Last, First, Middle Initial) even Middleton  ailing Address 52 Duclair Ct.  Ey  ttle Rock  C ID number of contributing deral political committee.  The primary General  Other (specify)	State AR C Occupatio Executive Aggregate		Date of Receipt    M
SUB.	TOTAL of Receipts This Page (optional)			624.99

## SCHEDULE A (FEC Form 3X)

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 12 (check only one)    X
C	Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	ne name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Stephens Inc. Federal Political Action	n Committee		
	Full Name (Last, First, Middle Initial) Mr. David Moix			Date of Receipt
	Mailing Address 111 Center Street			09 30 2010
	City	State	Zip Code	Transaction ID: SA11Al.6457
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		150.00
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:		e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	450.00	
_	Full Name (Last, First, Middle Initial) Joe Mowery			Date of Receipt
	Mailing Address PO Box 3507			09 30 2010
	City	State	Zip Code	Transaction ID: SA11AI.6458
	Little Rock	AR	72203	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		255.00
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		765.00	
_	Full Name (Last, First, Middle Initial) Ms Bobbie Nichols			Date of Receipt
	Mailing Address 1009 Fawnwood Roa	ıd		09 30 7 2010
	City	State	Zip Code	Transaction ID: SA11AI.6459
	Little Rock	AR	72207	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		150.00
	Name of Employer Stephens Inc.	Occupation Executiv		Monthly Payroll Deduction
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		450.00	
Γ	SUBTOTAL of Receipts This Page (optional)	1		555.00

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS	for each	arate schedule(s) category of the Summary Page	FOR LINE NUMBER: PAGE 11 / 12 (check only one)  X 11a 11b 11c 12 13 14 15 16
A	ny information copied from such Reports and for commercial purposes, other than using the	Statements may not be sold e name and address of any	or used by any perso political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)  Stephens Inc. Federal Political Action	Committee		
	Full Name (Last, First, Middle Initial) Charles Noble, III			Date of Receipt
	Mailing Address PO Box 3507			09 30 7 2010
	City Little Rock	State Zip Coo AR 72203	de	Transaction ID: SA11AI.6460  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		75.00
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:  Primary  General  Other (specify) ▼	Aggregate Year-to-Dat	225.00	
	Full Name (Last, First, Middle Initial) Mr. Mike Scott	1		Date of Receipt
	Mailing Address PO Box 3507			09 30 7 4 4 4
	City	State Zip Coo	de	Transaction ID: SA11Al.6462
	Little Rock	AR 72201		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		150.00
	Name of Employer Stephens Inc	Occupation Executive		Monthly Payroll Deduction
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Dat	450.00	
_	Full Name (Last, First, Middle Initial) Mr. Allen Sullivan	1		Date of Receipt
	Mailing Address 111 Center Street			09 30 2010
	City	State Zip Coo	de	Transaction ID: SA11Al.6463
	Little Rock FEC ID number of contributing federal political committee.	AR 72201		Amount of Each Receipt this Period  75.00
	Name of Employer Stephens Inc.	Occupation Executive		Monthly Payroll Deduction
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Dat	225.00	
	SUBTOTAL of Receipts This Page (optional) .	1		300.00

A.

В.

PAGE 12/12 FOR LINE NUMBER: SCHEDULE A (FEC Form 3X) Use separate schedule(s) (check only one) for each category of the **ITEMIZED RECEIPTS** 11a 11b 11c **Detailed Summary Page** 13 14 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Stephens Inc. Federal Political Action Committee Full Name (Last, First, Middle Initial) Date of Receipt Mr. William Tedford Mailing Address 5607 Hawthorne 09 30 2010 City State Zip Code Transaction ID: SA11AI.6465 Little Rock AR 72207 Amount of Each Receipt this Period FEC ID number of contributing 249.99 C federal political committee. Monthly Payroll Deduction Name of Employer Stephens Inc Occupation Executive Receipt For: Aggregate Year-to-Date General Primary 749.97 Other (specify) Full Name (Last, First, Middle Initial) Mr. Frank Thomas Date of Receipt Mailing Address 14601 Black Bear 0 9 30 2010 City State Zip Code Transaction ID: SA11AI.6466 Little Rock AR 72212 Amount of Each Receipt this Period FEC ID number of contributing C 300.00 federal political committee. Monthly Payroll Deduction Name of Employer Stephens Inc. Occupation Executive Receipt For: Aggregate Year-to-Date Primary General

			 _			
SUBTOTAL of Receipts This Page (optional)	•				549.99	
TOTAL This Period (last page this line number only)	<b>•</b>			-	4185.45	

900.00

Other (specify)